LexVid Services, Inc., Presents:

Preparing a TCR for the SEC
Reporting Corporate Fraud and Foreign Corruption

Total: **63 Minutes**

Speakers:
Neal A. Roberts

Description/Table of Contents

This CLE video provides a comprehensive overview of the SEC's whistleblower program and the Tips, Complaints, and Referrals (TCR) system. Designed for the general practitioner this presentation explains how whistleblowers and their lawyers can submit TCRs about securities violations and foreign bribery by listed US companies. It describes the process for receiving awards, and the protections against retaliation. Learn about the SEC's approach to evaluating and acting on whistleblower reports, and gain insights into effectively leveraging the TCR system for reporting misconduct.

Objective – Educate lawyers on how to find and then prepare whistleblower TCR complaints.

Topics include:

- Overview (15 min.)
- SEC TCR Whistleblower Program (25 min.)
- Foreign Corrupt Practices Act Enforcement (23 min.)

Total: 63 minutes

Presenters: Neal Roberts, the Managing Partner of ProtectUS Law, is an attorney licensed in California and the District of Columbia with more than 45 years' experience in various aspects of law. After a career as a law professor and then practicing law with a big law firm, Neal joined an even bigger accounting firm. In his 15 years with the accounting firms, he testified in and designed the strategy for the damages phases of various trials involving tens to hundreds of millions of dollars.

In the midst of this work, Neal discovered that a group of the professional firms were defrauding the Federal Government of tens of millions of dollars in illicit kickbacks regarding the travel expenses they charged to their clients. With this information, Neal blew the whistle and brought a series of lawsuits on behalf of the Federal Government. The travel alliances investigation led to discovery that these same professional firms had a series of similar alliances with the big technology companies. Neal

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then brought another series of lawsuits on behalf of the Federal Government and recovered over $400 Million for the people of the United States.

Neal Roberts has spent the last twenty years of his career pursuing large organizations which are defrauding the government or causing harm to individuals.

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