LexVid Services, Inc., Presents:

Bank Secrecy Act:
Filing a Money Laundering/Sanctions Case

Total: **66 Minutes**

Speakers:
Neal A. Roberts

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This CLE video offers a deep dive into whistleblower protections specific to the Bank Secrecy Act and Anti-Money Laundering regulations. Ideal for lawyers and compliance professionals the presentation covers how whistleblowers and their lawyers can report suspicious financial activities and the safeguards in place to protect them from retaliation. Learn about the roles of financial institutions in controlling money laundering, the process of reporting violations, and how to effectively address and manage potential whistleblower claims within the framework of the BSA/AML compliance structure administered by FinCEN (Financial Crimes Enforcement Network) and OFAC (Office of Foreign Assets Control).

Objective – Explain how to file a whistleblower's TCR for money laundering and sanctions violations with Department of the Treasury's FinCEN Office.

Topics include:

- Money Laundering (12 min.)
- Sanctions (18 min.)
- TCR Process (22 min.)
- BSA and Sanctions Examples (14 min.)

Total: 66 minutes

Presenters: Neal Roberts, the Managing Partner of ProtectUS Law, is an attorney licensed in California and the District of Columbia with more than 45 years' experience in various aspects of law. After a career as a law professor and then practicing law with a big law firm, Neal joined an even bigger accounting firm. In his 15 years with the accounting firms, he testified in and designed the strategy for the damages phases of various trials involving tens to hundreds of millions of dollars.

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In the midst of this work, Neal discovered that a group of the professional firms were defrauding the Federal Government of tens of millions of dollars in illicit kickbacks regarding the travel expenses they charged to their clients. With this information, Neal blew the whistle and brought a series of lawsuits on behalf of the Federal Government. The travel alliances investigation led to discovery that these same professional firms had a series of similar alliances with the big technology companies. Neal then brought another series of lawsuits on behalf of the Federal Government and recovered over $400 Million for the people of the United States.

Neal Roberts has spent the last twenty years of his career pursuing large organizations which are defrauding the government or causing harm to individuals.

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